

1 Revised Version

2 Rapid ESBL NP[®] test for rapid detection of expanded-spectrum

3 β -lactamase producers in Enterobacterales

4
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ABSTRACT

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Objectives: To evaluate the biochemical Rapid ESBL NP[®] (Liofilchem, Italy) for the rapid detection of extended-spectrum β -lactamases (ESBL) in Enterobacterales.

Methods: A total of 100 clinical Gram-negative strains (40 ESBL producers with or without cephalosporinase, 43 carbapenemase producers with or without additional ESBL, eight AmpC-type producers, six penicillinase producers and three non- β -lactamase producers) were tested using this colorimetric technique.

Results: The overall sensitivity and specificity of the test were found to be 93.9% and 98.5%, respectively. This test is rapid (turn-around-time of 40-45 min), easy to perform, and reliable for identification of ESBL producers.

Conclusion: The Rapid ESBL NP[®] test allows differentiation between ESBL producers on one hand, and non ESBL-producers or isolates expressing combined mechanisms of resistance on the other hand.

36 Multidrug resistance in Enterobacterales represents a serious threat to public health,
37 since the accumulation of resistance determinants may be the source of difficult-to-treat
38 infections in humans. One of the most important resistance traits is plasmid-mediated resistance
39 to broad-spectrum cephalosporins through production of extended-spectrum β -lactamases
40 (ESBL). ESBLs hydrolyze oxyimino-cephalosporins and aztreonam, while being inhibited by
41 β -lactamase inhibitors such as clavulanic acid and tazobactam.¹ The most frequent ESBLs are
42 of CTX-Ms, followed by TEMs and SHVs, and to a lesser extent PER, VEB, GES variants.²
43 ESBL-producing Enterobacterales constitute a major source of hospital-acquired infections
44 (bacteremia mainly caused by *Klebsiella pneumoniae*) and community infections (urinary tract
45 infections mainly caused by *Escherichia coli*).³ Therefore, the rapid identification of this
46 resistance trait is important to optimize the treatment of infections caused by ESBL producers
47 and to prevent the spread of ESBL producers in nosocomial settings.

48 Several techniques have been developed to identify ESBL producers, such as
49 phenotypic techniques based on the inhibition of ESBL activity by clavulanic acid or
50 tazobactam.⁴ Those techniques require a preliminary growth step of 24 to 48 h.⁵ Molecular
51 detection of ESBL-encoding genes is also interesting but remains costly, requires expertise, and
52 detects only known ESBL-encoding genes.⁵ Other techniques, such as those adapted from the
53 matrix-assisted laser desorption ionization-time of flight mass spectrometry (MALDI-TOF MS)
54 are being developed, but they do require additional material and a significant degree of
55 expertise.⁵ The **β -lacta test** (Bio-Rad) has been developed and is based on detection of
56 hydrolysis of a chromogenic cephalosporin, HMRZ-86.⁶ Indeed, the β -Lacta test turns positive
57 when either ESBL, cephalosporinase or carbapenemase enzymes are produced, considering that
58 all those enzymes actually hydrolyze HMRZ-86. The recently introduced immunological
59 detection of ESBLs is rapid, sensitive and specific (NG- CTX-M [NG Biotech, France] for
60 example), but detects only ESBLs of the CTX-M types.⁷

61 Therefore, developing tests based on biochemical detection of any type of ESBL activity
62 is quite interesting. For that purpose, the Rapid ESBL NDP had been developed based on the
63 detection of hydrolysis of the cefotaxime β -lactam ring revealing the production of a broad-
64 spectrum β -lactamase, coupled with a tube containing tazobactam that inhibits the ESBL
65 activity, signaling ESBL production.⁸⁻¹⁰ This colorimetric test is based on the change of color
66 of the pH indicator by acidification of the medium. The hydrolysis of the cephalosporin, here
67 cefotaxime, gives a carboxyl group, which decreases the pH. The red phenol present in the
68 reagent of the test subsequently turns yellow upon acidification of the pH.

69 A commercial version of this test, the Rapid ESBL NP[®] (Liofilchem, Roseto degli
70 Abruzzi, Italy) is now available, based on mostly on the same protocol as the home-made Rapid
71 ESBL NDP test. It can identify any ESBL producer in 40-45 minutes, regardless of the ESBL
72 type. The aim of our study was to evaluate this novel Rapid ESBL NP[®] test using a collection
73 of ESBL-producing isolates.

74 A well-characterized panel of 100 clinical enterobacterial strains (40 ESBL producers
75 with or without additional AmpC-type β -lactamases, 43 carbapenemase producers with or
76 without additional ESBL, eight AmpC-type producers, six penicillinase producers and three
77 non β -lactamase-producers) from the collection of clinical strains of the Medical and Molecular
78 Microbiology Unit, Faculty of Science and Medicine, University of Fribourg, Switzerland, was
79 tested. All strains were characterized for their β -lactamase content at their molecular level (PCR
80 and sequencing) prior to any testing.

81 The antimicrobial resistance profiles of the strains were determined by disk diffusion according
82 to EUCAST guidelines (http://www.eucast.org/clinical_breakpoints/); when needed, precise
83 determination of MIC values was performed by using MIC test strip technique (Liofilchem).¹¹

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85 **Rapid ESBL NP[®]**. The Rapid ESBL NP[®] assay was performed according to the
86 recommendations of the manufacturer from fresh overnight bacterial colonies grown on
87 selective or non-selective agar plates at 37°C. URISelect™ 4 agar plates (Bio-Rad, Cressier,
88 Switzerland) were used in this study. One to two full-calibrated loop (10-µl loop) of bacterial
89 colonies was suspended in 400 µl of lysis buffer. After 15 min, 100 µl of suspension were
90 dispensed in three wells (A, B and C) of the gallery. The well A does not contain antibiotic.
91 The well B contains cefotaxime, and the well C contains cefotaxime and tazobactam. The
92 results were interpreted according to the recommendations of the manufacturer (Figure 1) as
93 follows. When all wells A, B, and C remain red, no ESBL is produced (negativity). When wells
94 A and C remain red and well B turns orange/yellow, an ESBL is produced (positivity). When
95 well A remains red and wells B and C both turn orange/yellow, different possibilities are
96 possible, namely the production of an AmpC-type β-lactamase, co-production of ESBL +
97 AmpC, or production of a carbapenemase with or without an additional ESBL and/or AmpC
98 (undetermined result) (Figure 1). Production of an AmpC enzyme may be detected by
99 comparing susceptibility results of β-lactams obtained on an additional 18 h culture step on
100 media containing or not cloxacillin⁴ whereas carbapenemase detection may be performed by
101 using the Rapid Carba NP test.¹²

102 Reading of the results of the test were done after 20 min of incubation at 37°C. All
103 strains have been tested in duplicate. Around 2 min per isolate were needed for sample
104 preparation, with a total turn-around-time of approximately 40 min to obtain the result.

105 The Rapid ESBL NP[®] could detect 31 out of 33 ESBL producers (Table 1). Two
106 *Escherichia coli* strains producing SHV-12 and VEB-1 with a low expression levels of their
107 ESBL were not detected. MIC values of cefotaxime were 1 and 2 µg/ml, respectively for the
108 SHV-12 and the VEB-1 strains, indicating that those strains, despite being ESBL producers,
109 were still susceptible to cefotaxime which is the substrate for ESBL detection of this test. No

110 carbapenemase producer was detected as an ESBL producer. All the cephalosporinases with or
111 without ESBL did not give a positive result for ESBL production except a single DHA-1
112 producer that co-produced an ESBL being SHV-11. This strain was cefotaxime susceptible with
113 an MIC value of 0.25 mg/L.

114 Altogether, the sensitivity and specificity of the Rapid ESBL NP[®] test were 93.9% and
115 98.5%, respectively. The main advantage of this test is the ability to detect all types of ESBLs,
116 being either known or unknown, and clearly differentiate isolate that only produce an ESBL
117 from those exhibiting other multidrug resistance patterns. This test is therefore more specific
118 than the β -Lacta test that detect any strain that may hydrolyze the cephalosporin HMRZ-86, i.e
119 non only ESBL, but also cephalosporinase and carbapenemase producers) .

120 By testing different culture media (Drigalski, Columbia blood agar and the screening
121 medium for ESBL, ChromID ESBL (bioMérieux) with thirty strains producing or not an ESBL,
122 we found that ESBL detection is optimal after culture on Columbia blood agar medium and
123 on ChromID ESBL selective medium. Prior culture on Drigalski medium before testing for the
124 Rapid ESBL NP test gave totally inconsistent (data not shown).

125 Use of the Rapid ESBL NP will be of clinical value in particular for antibiotic
126 stewardship in the context of bacteremia in order to identify patients deserving a carbapenem-
127 containing therapy.¹³

128 The Rapid ESBL NP may be also interesting for immediate isolation of the patient's
129 carriers of ESBL producers before waiting the results of antibiogram (24-h delay). The excellent
130 positive predictive value of the Rapid ESBL NP is also a crucial feature. Actually, strains that
131 co-produced a CTX-M enzyme along with a carbapenemase (for example KPC) may be
132 misidentified as a CTX-M-only producing isolate by using the NG-CTX-M test. Similarly, the
133 use of molecular techniques for identification of ESBL encoding genes are limited to screening
134 of few ESBL genes, thus leading to false-negative results when some minor ESBL enzymes not

135 included in the screening pool are produced. Therefore, use of molecular techniques for
136 detection of ESBL producers shall gather not only ESBL encoding genes but also
137 carbapenemase genes, to eventually prevent mistaken interpretations when an ESBL is actually
138 produced together with an additional carbapenemase. In addition ,the immunological and
139 molecular tests cannot detect the never-ending population of ESBL genes that are emerging
140 worldwide.

141 In conclusion, the Rapid ESBL NP[®] test is a rapid, easy to perform, reliable and low-
142 cost technique for detection of any type of ESBL producer with good sensitivity and specificity.

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148 **DISCLOSURE STATEMENT**

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Figure 1. Rapid ESBL NP test. Positive result (*K. pneumoniae* producing CTX-M-15, row 1); Negative result (*E. coli* wild type, row 2); undetermined (*K. pneumoniae* producing NDM-1, row 3). This is an example of typical results that are obtained by using the Rapid ESBL NP test



Species (n)	Resistance determinant (n)	Rapid ESBL NP®			Interpretation
		Results			
		Well A (without antibiotic)	Well B (Cefotaxime)	Well C (Cefotaxime + Tazobactam)	
ESBL producers (n=33)					
<i>Klebsiella pneumoniae</i> (10)	CTX-M-2 (1)	-	+	-	+
	CTX-M-3 (1)	-	+	-	+
	CTX-M-14 (1)	-	+	-	+
	CTX-M-15 (2)	-	+	-	+
	CTX-M-18 (1)	-	+	-	+
	CTX-M-19 (1)	-	+	-	+
	CTX-M-37 (1)	-	+	-	+
	GES-1 (1)	-	+	-	+
	SHV-12 (1)	-	+	-	+
	<i>Enterobacter cloacae</i> (2)	GES-5 (1)	-	+	-
PER-1 (1)		-	+	-	+
<i>Escherichia coli</i> (20)	CTX-M-1 (1)	-	+	-	+
	CTX-M-3 (1)	-	+	-	+
	CTX-M-14 (1)	-	+	-	+
	CTX-M-15 (10)	-	+	-	+
	VEB-1 (1)	-	+	-	+
	VEB-1 (1)	-	-	-	-
	TEM-52 (3)	-	+	-	+
	SHV-12 (1)	-	+	-	+
	SHV-12 (1)	-	-	-	-
	<i>Proteus mirabilis</i> (1)	PER-1 (1)	-	+	-
Cephalosporinase producers (n=8)					
<i>Klebsiella pneumoniae</i> (2)	LAT-1 (1)	-	+	+	Other
	DHA-2 (1)	-	+	+	Other

<i>Escherichia coli</i> (4)	LAT-4 (1)	-	+	+	Other
	DHA-1 (1)	-	+	+	Other
	DHA-1 (1)	-	-	-	-
	Overexpressed AmpC (1)	-	-	-	-
<i>Citrobacter freundii</i> (1)	Overexpressed AmpC (1)	-	+	+	Other
<i>Enterobacter cloacae</i> (1)	Overexpressed AmpC (1)	-	+	+	Other
ESBL and cephalosporinase producers (n=7)					
<i>Klebsiella pneumoniae</i> (3)	SHV-11 + DHA-1 (1)	-	+	-	+
	SHV-12 + DHA-1 (1)	-	+	+	Other
	SHV-5 + DHA-1 (1)	-	+	+	Other
<i>Escherichia coli</i> (3)	CTX-M-9 + DHA-1 (1)	-	+	+	Other
	CTX-M-9 + CMY (1)	-	+	+	Other
	CTX-M-15 + CMY (1)	-	+	+	Other
<i>Enterobacter cloacae</i> (1)	CTX-M-15 + overexpressed AmpC (1)	-	+	+	Other
Penicillinase producers (n=6)					
<i>E. coli</i> (1)	TEM-1	-	-	-	-
<i>E. coli</i> (1)	OXA-1	-	-	-	-
<i>K. pneumoniae</i> (1)	TEM-2	-	-	-	-
<i>K. pneumoniae</i> (1)	OXA-1	-	-	-	-
<i>E. cloacae</i> (1)	TEM-1	-	-	-	-
<i>E. cloacae</i> (1)	OXA-10	-	-	-	-
Wild type (n=3)					
<i>Escherichia coli</i> (1)	Wild type (1)	-	-	-	-
<i>K. pneumoniae</i> (1)	Wild type (1)	-	-	-	-
<i>E. cloacae</i> (1)	Wild type (1)	-	-	-	-
ESBL and Carbapenemases producers (n=17)					
<i>Klebsiella pneumoniae</i> (7)	KPC-2 + CTX-M-15 (1)	-	+	+	Other
	KPC-2 + CTX-M-2 + SHV-12 (1)	-	+	+	Other

	NDM-1 + CTX-M-15 + SHV-18 (1)	-	+	+	Other
	NDM-1 + OXA-181 + CTX-M-15 + SHV-11 (1)	-	+	+	Other
	IMP-1 + CTX-M-15 (1)	-	+	+	Other
<i>Escherichia coli</i> (9)	OXA-48 + CTX-M-15 (2)	-	+	+	Other
	NDM-1 + CTX-M-15 (1)	-	+	+	Other
	NDM-4 + CTX-M-15 (1)	-	+	+	Other
	OXA-48 + CTX-M-15 (3)	-	+	+	Other
<i>Serratia marcescens</i> (1)	OXA-181 + CTX-M-15 (4)	-	+	+	Other
	VIM-2 + CTX-M-15 (1)	-	+	+	Other
Carbapenemases producers (n=26)					
<i>Klebsiella pneumoniae</i> (8)	KPC-2 (2)	-	+	+	Other
	KPC-3 (2)	-	+	+	Other
	IMP-1 (1)	-	+	+	Other
<i>Escherichia coli</i> (15)	OXA-48 (3)	-	+	+	Other
	KPC-2 (2)	-	+	+	Other
	NDM-1 (5)	-	+	+	Other
	NDM-4 (1)	-	+	+	Other
	NDM-5 (2)	-	+	+	Other
	OXA-48 (1)	-	+	+	Other
	OXA-181 (5)	-	+	+	Other
<i>Enterobacter cloacae</i> (1)	NDM-1 (1)	-	+	+	Other
<i>Citrobacter freundii</i> (2)	VIM-2 (1)	-	+	+	Other
	OXA-48 (1)	-	+	+	Other

Table 1. The results of the Rapid ESBL NP® with Enterobacterales