Adaptive thermogenesis in human body weight regulation: more of a concept than a measurable entity?

A. G. Dulloo, J. Jacquet, J-P. Montani and Y. Schutz

Department of Medicine/Physiology, University of Fribourg, Fribourg, Switzerland

Address for correspondence: Dr AG Dulloo, Department of Medicine/Physiology, University of Fribourg, Chemin du musée 5, CH-1700 Fribourg, Switzerland.

E-mail: abdul.dulloo@unifr.ch

Summary

According to Lavoisier, 'Life is combustion'. But to what extent humans adapt to changes in food intake through adaptive thermogenesis - by turning down the rate of heat production during energy deficit (so as to conserve energy) or turning it up during overnutrition (so as to dissipate excess calories) - has been one of the most controversial issues in nutritional sciences over the past 100 years. The debate nowadays is not whether adaptive thermogenesis exists or not, but rather about its quantitative importance in weight homoeostasis and its clinical relevance to the pathogenesis and management of obesity. Such uncertainties are likely to persist in the foreseeable future primarily because of limitations to unobtrusively measure changes in energy expenditure and body composition with high enough accuracy and precision, particularly when even small interindividual variations in thermogenesis can, in dynamic systems and over the long term, be important in the determining weight maintenance in some and obesity and weight regain in others. This paper reviews the considerable body of evidence, albeit fragmentary, suggesting the existence of quantitatively important adaptive thermogenesis in several compartments of energy expenditure in response to altered food intake. It then discusses the various limitations that lead to over- or underestimations in its assessment, including definitional and semantics, technical and methodological, analytical and statistical. While the role of adaptive thermogenesis in human weight regulation is likely to remain more a concept than a strictly 'quantifiable' entity in the foreseeable future, the evolution of this concept continues to fuel exciting hypothesis-driven mechanistic research which contributes to advance knowledge in human metabolism and which is bound to result in improved strategies for the management of a healthy body weight.

Keywords: Dieting, obesity, starvation, weight regain.

Abbreviations: BMR, basal metabolic rate; CV, coefficient of variability; DIT, diet-induced thermogenesis; EE, energy expenditure; FFM, fat-free mass; LBM, lean body mass; NEAT, non-exercise activity thermogenesis; PAL, physical activity level; SPA, spontaneous physical activity; TEF, thermic effect of food.

In dealing with human beings, value judgements cannot be avoided, but to avoid sterile discussion they must be separated from objective descriptions of adaptive responses

John Waterlow (1)

Introduction

In addressing the topic of adaptive thermogenesis pertaining to the regulation of body weight, it is pertinent to first raise the question of whether body weight is indeed a regulated variable. Given the surge in the global prevalence of obesity during the past decades, it could be argued that body weight is a poorly regulated variable. By contrast, the fact that within a given obesogenic environment there are many adult individuals in whom body weight remains relatively stable over years and decades, apparently without conscious control, might instead suggest that body weight is accurately regulated in these individuals. But constancy per se is not evidence for regulation. A critical feature of any regulated system is that disturbance of the regulated variable results in compensatory responses that tend to attenuate the disturbance and to restore the system to its 'set' or 'preferred' value. The direct application of this approach to test whether body weight is regulated in human beings is difficult because of ethical and practical reasons, but observations on adults recovering from food shortages during post-war famine or from experimental starvation indicate that a return to normal body weight is eventually achieved (2). Conversely, excess weight gained during experimental overeating or during pregnancy is subsequently lost, and most individuals return to their initial body weight. It would seem therefore that the regulation of body weight occurs in many human beings, albeit with varying degrees of accuracy and varying timescale over which this regulation operates. In this context, it is important to emphasize several cardinal features of human weight regulation.

- (i) Timescale in achieving energy balance: Human beings do not balance energy intake and energy expenditure (EE) on a day-to-day basis nor is positive energy balance one day spontaneously compensated by negative energy balance the next day (2). Near equality of intake and expenditure most often appears over several weeks. Longer measurements are difficult to conduct and impractical because of cumulative errors.
- (ii) Subtle perturbations in energy balance can lead to obesity: The long-term constancy of body weight, i.e. its maintenance within a few kilograms over decades, can only be achieved if the matching between energy intake and EE is extremely precise since a theoretical error of only 1% between input and output of energy, if persistent, will lead to a gain or loss of about 10 kg per decade. Yet, a difference

of 5% between energy intake and EE is hardly measurable with techniques that are available today. Many investigators have calculated the magnitude of 'energy gap' necessary to progressively render a population overweight/obese over a number of years or decades (3). These calculations show that small daily imbalance in the range of 50-100 kcal, corresponding to one or half a bar of chocolate (20 g), is more than sufficient to reach an obese state a few years or a decade later. However, this has primarily an academic interest since the energy gap is never constant in real life as both energy intake and EE are fluctuating from day to day in a random or in a non-stochastic (nonrandom, non-deterministic) manner, but not necessarily in coincidence (in terms of energy intake being in concert with EE) and in the same direction on the same day. Furthermore, the energy gap, when extrapolated over a prolonged period of time, neglects any corrective metabolic or behavioural responses from the body (except the effect of the change in tissue mass which directly influences resting EE).

(iii) Body weight constancy amidst fluctuations: Even in individuals that maintain a relatively stable lean body weight over decades, there is no absolute constancy of body weight over weeks and years. Instead, body weight tends to fluctuate or oscillate around a mean constant value, with deviations from the 'set' value being triggered by events that are cultural (weekend parties, holiday seasons), psychological (stress, anxiety or emotions) and pathophysiological (ranging from minor health perturbations to more serious disease states). According to Garrow (2,4), very short-term day-to-day changes in body weight have a standard deviation of about 0.5% of body weight, while longitudinal observations over periods of between 10 and 30 years indicate that individuals experienced slow trends and reversal of body weight amounting to between 7 and 20% of mean weight. The relative constancy of body weight amidst considerable weight fluctuations can be appreciated from rare data (5) of day-to-day body weight measured over 4 years under free-living conditions in a young healthy man of normal body mass index (BMI) (Fig. 1). This figure encapsulates the complexity and difficulties in studying human weight regulation: poor shortterm regulation when examined over days, weeks, and months, but precise regulation when examined over years.

Understanding how these short-term deviations in body weight, and hence poor short-term regulation, are corrected through changes in energy intake, EE, or in both to achieve an accurate long-term regulation of body weight, still remains a challenging issue for human research today. Nonetheless, in such a dynamic state within which weight homoeostasis occurs, it is likely that long-term relative constancy of body weight is achieved through a highly complex network of autoregulatory control systems and subsystems through which changes in food intake, body

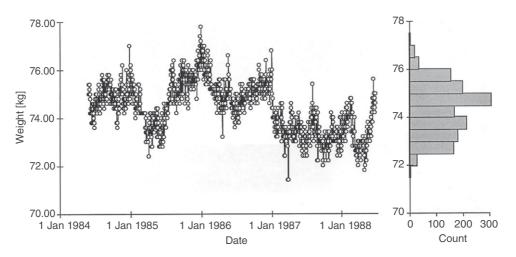


Figure 1 Day-to-day fluctuations in body weight over a 4-year period in a healthy young adult man of normal body mass index (BMI = 22.4 kg m⁻²). The frequency distribution on the right hand side indicates the number of times (counts) that subject's body weight was between 71.5 and 77.5 kg, with weight intervals of 0.5 kg. Adapted from Schutz and Garrow (5).

composition and EE are interlinked. What then could constitute the autoregulatory control systems that operate through adjustments in EE to correct deviations in body weight?

Autoregulatory adjustments in energy expenditure

It has long been proposed that in the overall homoeostatic system for body weight, there is a built-in stabilizing mechanism that operates with a relatively simple physiological negative feedback system (6). As Payne and Dugdale (7) have illustrated, using a computer simulation model for weight regulation, any imbalance between energy intake and energy requirements would result in a change in body weight which, in turn, would alter the maintenance energy requirements in a direction that would counter the original imbalance and would hence be selfstabilizing. The system thus exhibits dynamic equilibrium. For example, an increase in body weight would be predicted to result in an increase in EE on the basis of the extra energy cost for synthesis and maintenance of extra lean and fat tissues, as well as for the increased energy cost of carrying a heavier weight; this would then produce a negative energy balance and result in a subsequent decline in body weight to its original 'set' or 'preferred' value. Similarly, a reduction in body weight would also be automatically corrected since the resulting diminished EE due to the loss in weight will produce a positive balance and hence a subsequent return towards the 'set' or 'preferred' weight.

Beyond mass action

In reality, however, the homoeostatic system is much more complex than this simple effect of *mass action* since the efficiency of metabolism (or metabolic efficiency) may also alter in response to the alterations in body weight. As demonstrated in the 'weight-clamping' experiments of Leibel et al. (8), subjects who after a period of overfeeding maintained their body weight at a level of 10% above their usual weight showed an increase in daily EE (+15%; ~400 kcal d⁻¹) beyond that predicted from changes in body weight and body composition; the daily EE being measured in a respiratory chamber by indirect calorimetry and/or by the doubly labelled water (DLW) technique. Conversely, subjects who after a period of underfeeding maintained body weight at a level of 10 or 20% below their usual weight showed a decrease in daily EE (-15%; ~300 kcal d⁻¹) beyond that predicted from the losses of body weight and lean tissues, and which were also shown to persist in subjects who have sustained weight loss for long periods of time ranging from months to years (8,9). These compensatory increases or decreases in mass-adjusted EE (~15% above or below predicted values) are thought to reflect changes in metabolic efficiency that oppose the maintenance of a body weight that is different from the usual body weight; the magnitude of these adjustments in EE being found to be similar in the non-obese and obese subjects as well as in men and women.

Inter-individual variability

A closer inspection of data from these 'weight-clamping' experiments (8,9) reveals that there is a large interindividual variability in the changes in mass-adjusted daily EE, with some individuals showing little or no evidence for altered metabolic efficiency, while others showed marked changes in metabolic efficiency in a direction that opposes the weight change. Indeed, the most striking feature of human studies of experimental overfeeding (lasting from a

few weeks to a few months) is the wide range of individual variability in the amount of weight gain for the same excess energy consumed. Some of these differences in the efficiency (or energy cost) of weight gain can be attributed to interindividual variability in the gain of lean mass relative to fat mass (i.e. variability in the composition of weight gain), but the possibility also arises that part of the variability also resides in converting excess calories to heat, i.e. in dietinduced thermogenesis (DIT). In a reanalysis of data from some 150 human beings participating in the various 'gluttony' experiments conducted between 1965 and 1999, Stock (10) estimated DIT as the energy cost of weight gain above a theoretical maximum energy cost of 45 MJ kg⁻¹, and argued that at least 40% of these overfed subjects must have exhibited an increase in DIT, albeit to varying degrees. That genes play an important role in such variability in weight gain has in fact been established from the long-term overfeeding experiment of Bouchard et al. (11) in identical twins, with similarity within pairs of twins clearly indicating that genetic factors are involved in the partitioning between lean and fat tissue deposition and in the EE response. Conversely, a role for genotype in human variability in the composition of weight loss (i.e. ratio of lean to fat tissue) and in the estimated increase in metabolic efficiency during weight loss has been suggested from studies of Hainer et al. (12), in which identical twins underwent slimming therapy on a very-low-calorie diet. Taken together, these studies suggest that in addition to the

control of food intake, the partitioning of weight change between lean and fat tissues as well as changes in metabolic efficiency play an important role in the regulation of body weight and body composition, and that the magnitudes of these adaptive responses to limit weight loss or weight gain are strongly influenced by the genetic make-up of the individual.

Controversies

However, considerable uncertainties and controversies exist about the quantitative importance of the altered metabolic efficiency in these responses to under- or overfeeding, amidst variable definitions, methodological and analytical approaches that are used to attribute or deny changes in EE to adaptive thermogenesis. In the analysis of the factors that contribute to these controversies, it is therefore important to first discuss what could constitute adaptive thermogenesis when examining changes in the various compartments of daily EE.

What constitutes adaptive thermogenesis?

Figure 2 shows a schematic presentation of daily EE divided into the various compartments and sub-compartments that are generally measured or estimated in studies investigating metabolic adaptation resulting from adaptive thermogenesis. To note that in this review, the

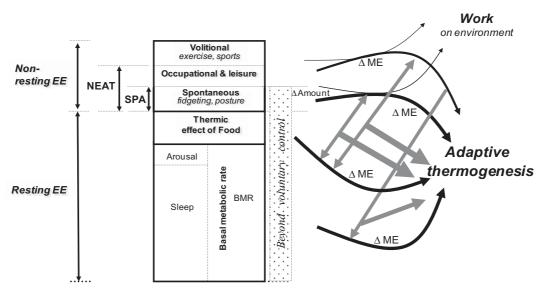


Figure 2 Compartmentalization of energy expenditure. Energy expenditure (EE) is divided into resting EE and non-resting EE. The latter is subdivided into volitional and non-exercise activity thermogenesis (NEAT), which in turn is subdivided into spontaneous physical activity (SPA) and occupational/leisure activities. Resting EE comprises all measurements of EE made at rest – basal metabolic rate (BMR), sleeping EE and the thermic effect of food – and which are essentially beyond voluntary control (i.e. subconscious). Non-resting EE is also divided into voluntary and involuntary (subconscious) physical activities. ΔME = change in metabolic efficiency. The black lines indicate changes in metabolic efficiency in the various compartments and sub-compartments of daily EE. The grey lines indicate potential interactions across the various compartments. Adapted from Dulloo *et al.* (27).

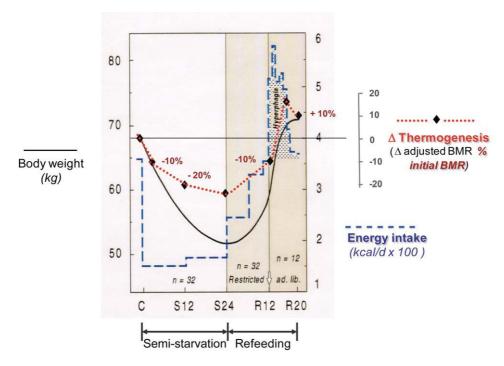


Figure 3 Pattern of changes in body weight (black solid line), energy intake (blue broken line), and adaptive thermogenesis (red dotted line) during the various phases of the longitudinal 'Minnesota Experiment' of human semi-starvation and refeeding. The changes in adaptive thermogenesis at the various time points are assessed as changes in basal metabolic rate (BMR) after adjusting for changes in fat-free mass and fat mass, and expressed as a percentage of the baseline control BMR level. Note that at R20, after the hyperphagia has completely subsided, the mass-adjusted BMR is elevated relative to baseline. C = end of control (baseline) period; S12 and S24 = week 12 and week 24 of semi-starvation, respectively; R12 and R20 = week 12 and week 20 after onset of refeeding. Drawn from the data of Keys et al. (13), Dulloo and Jacquet (15), and Dulloo et al. (27).

term basal metabolic rate (BMR) is used interchangeably with resting EE measured after an overnight fast. Furthermore, fat-free mass (FFM), the difference between body weight and fat mass (ether extractable), is used interchangeably with lean body mass (LBM), which is the difference between body weight and adipose mass.

Adaptive thermogenesis in resting state

As depicted in Fig. 2, EE measured at rest in the postabsorptive state whether as BMR or sleeping EE reflects the production (or generation) of heat, i.e. thermogenesis. In response to overnutrition or undernutrition, the changes in mass-adjusted BMR (i.e. changes in BMR beyond that predicted by changes in FFM and fat mass) can be quantified by applying regression models to calculate residuals; such deviations from predicted values are considered to reflect changes in metabolic efficiency and hence in adaptive thermogenesis. Decreases in mass-adjusted BMR in response to starvation or hypocaloric dieting (13-18) as well as increases in mass-adjusted BMR in response to overfeeding (19-25) have often been reported in humans, and could reflect the operation of adaptive thermogenesis in the compartment of resting EE. In the classic longitudinal Minnesota Experiment (13) where normal weight volunteers went

through phases of semi-starvation, restricted refeeding, and subsequently ad libitum refeeding (Fig. 3), adaptive thermogenesis in the BMR compartment could thus be inferred from mass-adjusted BMR decreasing during the course of weight loss and remaining low during the period of restricted refeeding (15,26), but also increasing during the hyperphagic phase of ad libitum refeeding and remaining high even after hyperphagia was no longer evident (27); the large inter-individual variability in adaptive thermogenesis at each of these time points is shown in Fig. 4. Further evidence for the existence of adaptive thermogenesis in the BMR compartment can also be derived from the studies of Blundell and Cooling (28), showing elevated BMR in some habitually high-fat consumers maintaining similarly normal BMI and body composition on a higher energy intake than habitually low-fat consumers, as well as from the meta-analysis of Astrup et al. (29) showing a lower mass-adjusted BMR or resting EE in post-obese subjects than in never-obese controls.

Thermic effect of food

EE in the postprandial state is quantified as the thermic effect of food (TEF); it is also referred to as the specific dynamic action, postprandial thermogenesis, or DIT, and can amount between 5 and 15% of daily metabolizable

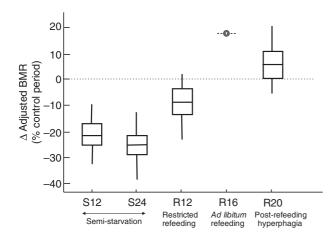


Figure 4 Box and whisker plot showing the distribution of values for adaptive thermogenesis (assessed as the changes in basal metabolic rate [BMR] adjusted for changes in fat-free mass [FFM] and fat mass) at specific time points during the course of weight loss, weight recovery, and after weight overshooting in men participating in the Minnesota Experiment, namely at weeks 12 and 24 of semi-starvation (S12 and S24, respectively), after 12 weeks of restricted refeeding (R12), and 20 weeks after the onset of refeeding (R20), a time point when FFM had been 100% recovered, but body fat had overshot by 3 kg on average. At week 16, which corresponds to the phase of refeeding hyperphagia following ad libitum access to food, the individual data are not available; only the mean value is indicated at this time point. Each box encloses the data from the second and third quartiles and is bisected by a line at the value for the median. The tips of vertical lines indicate the minimum and maximum values. For data at S12, S24 and R12, n = 32; for data at R16 and R20, n = 12. Adapted from Dulloo and Jacquet (15), and Dulloo et al. (27).

energy intake (30). It is generally measured at rest and is divided into an obligatory component related to the energy costs of digestion, absorption, and metabolic processing of nutrients for storage, and a facultative component which in part results from the sensory aspects of foods and in part from stimulation of the sympathetic nervous system. TEF assessed at rest may be considered as a proxy for exploring the net efficiency of exogenous macronutrient utilization. Although it is unclear whether TEF (as a % of meal calories) is altered in response to experimental overfeeding or underfeeding (30-32), and whether its impairment contributes to the pathogenesis of obesity (33,34), reports of a lower TEF in post-obese subjects than in the never-obese controls (35-38) may also be suggestive of adaptive thermogenesis in this compartment of EE (generally measured at rest) in response to weight loss.

Adaptive thermogenesis in non-resting state

The adaptive changes in heat production from what is generally clustered under non-resting EE or activity EE are more difficult to assess as they consist of several overlapping sub-compartments (Fig. 2). In interpreting the energy

cost of physical activity, it is important to point out that physical activity is often used synonymously with 'work' which has a strict definition in physics, namely work performed on the environment, i.e. force × distance. The efficiency of muscular work during dynamic exercise is low (~25%), but that of spontaneous physical activity (SPA) – such as muscle tone and posture maintenance, fidgeting and non-specific ambulatory behaviour such as pacing - is even lower because these essentially involuntary (subconscious) activities comprise a larger proportion of isometric work. Because no physical work is done in the strict physical sense, isometric work per se is considered to be simply thermogenic. Thus, as actual work done on the environment during SPA is very small compared to the total energy spent on such activities, the energy cost associated with SPA has been referred to as movement-associated thermogenesis or SPA-associated thermogenesis. As SPA is essentially a biologically driven (subconscious) behaviour, a change in the level or amount of SPA in a direction that defends body weight also constitutes autoregulatory adjustment in EE. In this context, an increase in the amount of SPA in response to overfeeding, or a decrease during undernutrition, also constitutes adaptive changes in thermogenesis (Fig. 2).

Spontaneous physical activity thermogenesis

To date, the most direct evidence that changes in SPA, as assessed by radar motion detectors in respiratory chambers, contribute to autoregulatory changes in EE in humans is derived from data (39) obtained from the eight men and women who were participating in the Biosphere 2 experiment, a self-contained ecologic 'miniworld' and prototype planetary habitat built in Arizona. As a result of unexpected shortage of food, their losses in body weight (~15%) over a 2-year period were found to be accompanied by a markedly lower SPA, which, like their reduced daily EE, persisted several months after the onset of weight recovery and could be implicated in their disproportionate recovery of fat mass relative to lean mass. Whether inter-individual variability in the amount of SPA contributes to variability in resistance or susceptibility to obesity has also been the focus of a few human studies. The potential importance of SPA-associated thermogenesis in human weight regulation was in fact underscored by the findings of Ravussin et al. (40) that even under conditions where subjects are confined to a respiratory chamber, SPA accounted for 8-15% of daily EE varying between 100 and 680 kcal d⁻¹. Subsequent studies indicated that SPA is a familial trait and a predictor of subsequent weight gain over a 3-year follow-up (41). In fact, a main conclusion of the early overfeeding experiments of Miller et al. (42) was that resistance to obesity in some of the individuals could not be accounted by an increase in EE at rest (BMR or TEF), and was postulated to reside in an increased EE associated with simple low-level activities of everyday life. This notion has gained support from the report of Levine *et al.* (43) that >60% of the increase in daily EE in response to overfeeding could be attributed to changes in activity EE which they referred to as non-exercise activity thermogenesis (NEAT), and which they showed to be the most significant predictor of fat gain in their overfed subjects. As the subjects did not engage in exercise (i.e. structured physical activity) and no changes in overt physical activity could be detected by accelerometers in response to overfeeding, Levine *et al.* (43) attributed the increases in NEAT to an increase in low-level SPA. One could, however, also attribute these increases in NEAT to decreases in the efficiency of muscular work, whether voluntary or spontaneous.

Efficiency of muscle work

Indeed, in the previously mentioned 'weight-clamping' experiments of Leibel et al. (8), the autoregulatory increases or decreases in non-resting EE could not be explained by changes in the amount of time spent in physical activity. Instead, muscle work efficiency during lowintensity cycle ergometry was found to be decreased by 18% or increased by 26% during such forced weight maintenance at 10% above or below the usual body weight, respectively (44); such changes in muscle efficiency at altered body weight could account for about a-third of the change in daily energy expended in the non-resting state (i.e. associated with physical activity). The finding of an adaptive decrease in thermogenesis, as judged by the lower (net) energy cost of exercise when body weight is reduced, is consistent with other reports of an increase in skeletal muscle work efficiency after weight reduction in lean and obese subjects (45,46), in non-obese female dieters exercising at different workloads (47), and in chronically undernourished subjects in India (48).

Adaptive thermogenesis in overlapping energy expenditure compartments

While some of the most compelling evidence in support of adaptive thermogenesis attempts to quantify its relative importance in the resting vs. non-resting compartments of daily EE, it must be emphasized, however, that this division of EE into resting and non-resting EE is artificial. The possibility of overlaps and interactions across these compartments and sub-compartments of EE are also illustrated in Fig. 2, and discussed below.

First, sleep EE, which is generally nested under 'resting' EE, may also comprise a 'non-resting' component due to spontaneous movement or SPA occurring during sleep (e.g. rollovers, posture alterations), the frequency of which is highly variable between individuals (49).

Second, BMR might be confounded by the long-lasting effect of a large evening meal whereby TEF might be incomplete by the time BMR is assessed in the morning.

Third, non-resting EE could also include heat production resulting from the impact of physical activity (exercise or SPA) on the TEF or postprandial thermogenesis. There is in fact some evidence for interactions between acute exercise and food on EE, with both high- and low-intensity physical activity leading to a potentiation of TEF (50–53). Schutz (54) has proposed that as feeding and exercise performance have opposite effects on metabolic flux, with feeding inducing macronutrient substrate storage in the postprandial phase whereas the performance of exercise results in endogenous macronutrient mobilization, the simultaneous operation of both processes increases macronutrient utilization and substrate turnover, resulting in enhanced TEF – by analogy to the concept of increased postprandial substrate cycling, referred to (inappropriately) as 'futile' cycling.

Fourth, the effect of exercise and physical activity on EE can persist well after the period of the physical activity (post-exercise or post-SPA stimulation of thermogenesis) whether in the post-absorptive or postprandial state (55,56). For example, in the study by Broeder et al. (56) in which men performed low-intensity vs. high-intensity exercise with and without a meal in the post-exercise period, the thermogenic response was higher in the high-intensity group (+14%) than in the low-intensity group (+6%) in the post-exercise period. In a more recent study of tightly controlled physical activity in a respiratory chamber (57), vigorous exercise at 75% of VO₂max for 45 min resulted in a significant elevation in post-exercise EE that persisted for 14 h. The approximately 190 kcal expended after exercise above resting levels represented an additional 37% to the net energy expended during the 45-min cycling bout. Such interactions between food and physical activity persisting after the cessation of physical activity have not been studied in response to experimental perturbations of energy balance and weight changes, and their contributions to adaptive thermogenesis cannot be disregarded.

Limitations and issues in quantifying adaptive thermogenesis

Despite the many lines of evidence previously mentioned in support for the existence of adaptive thermogenesis in both resting and non-resting compartments of EE in humans, they are nonetheless fragmentary as the measures have most often been made in different studies. Few studies have attempted to simultaneously and independently measure most of the components of EE in response to energy imbalance and weight perturbations. Furthermore, there are potentially large technical errors in assessing non-resting EE and in the determination of body composition, and these will impact upon estimates of mass-adjusted EE and hence in the quantification of adaptive thermogenesis. Last, but not least, the very definition of adaptive thermogenesis

remains somehow obscure and hotly debated among many investigators, mostly because it has not been clearly mathematically defined (ideally by a straight equation). These limitations have served to fuel the controversy (58,59) about the importance of adaptive thermogenesis, with some attributing its role as minor in weight regulation and others actually considering the phenomenon as irrelevant to human weight homoeostasis. Some of the most important methodological, technical and biological issues in the interpretation of adaptive thermogenesis are discussed below.

Dietary intake assessment

Dietary compliance is often pinpointed as an important factor in explaining lower-than-predicted weight changes in response to dieting or overfeeding. In performing human studies, the degree of compliance to the diet, and above all, the maintenance of adherence to the prescribed diet over time is indeed a difficult task (60), in particular since it is not constant in a given individual and may change over time. However, well-controlled studies conducted under metabolic ward conditions have also reported large variability in weight gain/loss in response to overfeeding/ dieting. It could also be argued that such differences in weight gain/loss may be explained by our inability to accurately assess weight maintenance energy requirements and therefore the actual energy excess or energy deficit. In particular, Stubbs and Tolkamp (61) have argued that the magnitude of inter-individual differences in DIT may not be as great as suggested by Stock (10), as a main criticism in estimating DIT from most of these studies resides in the general failure of most human overfeeding studies to characterize the habitual energy requirements of subjects. An estimate of energy requirements is usually made as a standardized multiple of BMR (e.g. $1.6 \times BMR$), which therefore does not account for inter-individual differences in baseline physical activity. By assuming that all subjects have a similar sedentary EE when expressed as a multiple of BMR, any difference in physical activity would translate into an estimate of DIT. Uncertainties about the assessment of energy requirements during weight maintenance were more recently underscored by Westerterp (62) who has challenged some of the conclusions of studies (63), investigating the effect of overfeeding diets varying in protein content on the composition of weight gain, on the grounds that an underestimation of energy requirement for weight maintenance in the group overfed with a low-protein diet resulted in an overestimation of the energy overfed in this group. Furthermore, errors also occur in the calculation of the energy deficit and hence in predicted or target weight loss, particularly in the estimation of daily energy requirement for weight maintenance before weight loss interventions. As underlined by Heymsfield et al. (64), if the estimated baseline energy requirement of an obese person is 100–200 kcal d⁻¹ higher or lower than measured, then even perfect adherence to a diet will result in an error of 2–4 kg in predicted weight change over a year.

Body composition assessment

In assessing adaptive thermogenesis as greater-thanpredicted changes in EE in response to altered energy balance, the data on EE as resting EE, BMR, or as total daily EE are adjusted for changes in FFM, the most important predictor of EE at rest, but with the inherent assumption that the composition of FFM remains constant. However, FFM can be divided into several constituents with very different specific metabolic rates. In particular, brain, heart, kidneys and liver that represent <10% of body mass contribute >60% of BMR (65). In contrast, skeletal muscle represents 35-40% of body mass (and 50% of FFM), but its contribution to BMR is only 20-25%. Consequently, given that the contribution of the organ mass to BMR is disproportionately greater than skeletal muscle mass (60-80% vs. 20-25%), and that for most individuals in our mechanized societies, BMR is the major component of daily EE, the possibility arises that relatively small changes in the proportion of organ mass to muscle mass in response to weight perturbations can impact significantly on EE. Hence, this could account for some of the unexplained variance in changes in EE and thereby the estimation of adaptive thermogenesis. New technologies such as computed tomography (CT) scanning and magnetic resonance imaging (MRI) are increasingly being applied to estimate organ size in the evaluation of inter-individual variability in resting EE (66,67), in body composition phenotyping in general (68), and in explaining ethnic differences in EE (68). To date, however, only a few studies have provided insights into this issue of composition of FFM or LBM pertaining to altered EE in response to dietaryinduced weight loss or weight gain, and these are discussed below.

Organ size

First, in contrast to the increases in the size of heart, liver and kidney observed during the development of spontaneous obesity (68,69), the increased LBM during weight gain in response to a 100-d overfeeding study in 22 young men was not found to be accompanied by detectable increases in organ size (70). Indeed, using CT scanning to assess the changes in adipose tissue mass, skeletal muscle mass, and non-muscular LBM in relation to the changes in BMR, Dériaz *et al.* (70) found that increases in LBM occurred in skeletal muscle but not in non-muscle FFM, such that the prediction of resting EE by the LBM or skeletal muscle mass could not be improved by introducing the non-muscular-LBM components (organs, bones). The implica-

tion of these findings is that the contribution of the LBM or FFM gain to the increase in BMR would be overestimated, leading to an underestimation of mass-adjusted BMR and hence an underestimation (or failure to detect) of adaptive increases in thermogenesis in response to such overfeeding.

Second, in a recent study by Bosy-Westphal et al. (71), which was conducted in 45 overweight and obese women who have lost weight in response to a low-calorie diet for 3 months, body composition was determined by MRI, dualenergy X-ray absorptiometry, and a four-compartment model in order to assess the contribution of loss in individual organ mass to the weight loss-associated decline in resting EE. By comparing resting EE measured by indirect calorimetry with that calculated from detailed body composition analysis with the use of specific organ metabolic rates, they found that almost 50% of the decrease in measured resting EE after a mean 10-kg weight loss was explained by losses in FFM and fat mass, and that the variability in measured resting EE explained by body composition increased to 60% by also considering the weight of individual organs. This still leaves, albeit theoretically, about 40% of the decline in resting EE attributed to adaptive thermogenesis. It should be noted that the latter value must be an overestimation, because it is illusory to expect that 100% of the variability could be explained given the fact that the technical error of measurement is included in the total variability and that the intra-individual variability is not zero and is inflating the total inter-individual variability. At least, under conditions of this experiment in overweight and obese subjects, the estimation of adaptive thermogenesis is only modestly overestimated if the composition of FFM is not taken into account.

Third, in a subsequent weight loss/regain study, Bosy-Westphal *et al.* (72) showed that after weight loss, a reduced resting EE adjusted for changes in FFM and fat mass, as well as for changes in organ/tissue masses, persisted during weight regain; these findings being consistent with the notion derived from reanalysis of the Minnesota Experiment, indicating that adaptive suppression of thermogenesis persists during weight regain and drives the accelerated rate of fat recovery or catch-up fat (15,26).

Fat-free mass hydration

It should also be emphasized that in studies of underfeeding and overfeeding, particularly where the magnitude of changes in body weight is small (a few kg), the exact size of the change in energy storage is difficult to assess with great accuracy given the imprecision of body composition measurements (73,74), thereby introducing uncertainties and potentially large errors in the assessment of body composition, with disproportionate changes in body water influencing the composition of FFM. More recent applications of the four-compartment model to assess body composition have revealed a relative overhydration of FFM in response

to acute overfeeding in young men (75), as well as in obese subjects who have lost >18 kg in response to dieting and who maintained their post-obese weight for 2–16 years (76). These observations are also important in interpreting the relationship between changes in EE and body composition. An overhydration of FFM (and hence an overestimation of metabolically active cell mass) will lead to underestimation of adaptive thermogenesis in response to overfeeding-induced weight gain and its overestimation in response to underfeeding-induced weight loss.

Energy expenditure assessments

Most studies investigating adaptive thermogenesis have measured EE over a small part of the day, namely as BMR and TEF (one test-meal response), and thus might have missed adaptive thermogenesis occurring over the rest of the day. These limitations of short-term EE measurements can be avoided by two other approaches: respiratory chamber and DLW. Both approaches are thought to enable quantitative assessment of the changes in EE due to altered thermogenesis because any adaptive thermogenesis in the various compartments of EE would cumulate into the total daily EE. The 24-h respiratory chamber measurements can reach the highest accuracy and precision (Table 1). Furthermore, confining the subject in a respiratory chamber is important for maintaining strict experimental conditions, and allows measurements of total EE and its components: BMR, sleeping EE, radar-monitored physical activity, and

Table 1 Estimation of relative errors in energy expenditure assessments assessed in appropriate conditions by a hood system (BMR and TEF), by a large size respiratory chamber (24-h EE) or by doubly labelled water (at least 1 week average energy expenditure)

	Technical		Biological	
	Accuracy (%)	Precision (%)	Intra-subject variability (%)	References
BMR	2–3	1–2	2–4	(2,5,30,93)
TEF	2–3	1–2	15–40	(30,33,34,54)
24-h EE Respiration chamber	2–3	1–2	2–3	(40,83)
Doubly labelled water TEE	3–10	5	8–10	(79–81)
Doubly labelled water PAL	?	?	?	

BMR, basal metabolic rate; EE, energy expenditure; PAL, physical activity level; TEE, total energy expenditure; TEF, thermic effect of food.

TEF integrated over 24 h. Respiratory chambers are however not suited to explore behavioural changes in physical activity because the variety, types and intensity of activities are largely limited by the size of the chamber. Consequently, altered thermogenesis in the non-resting compartment is likely to be underestimated. The application of the DLW method for assessing daily EE under free-living conditions (typically over 1-2 weeks) would seem to obviate this limitation, as it provides a means for assessing quantitatively the contribution of physical activity to daily EE, with physical activity level (PAL) estimated from the ratio of 24 h EE to BMR. Furthermore, if volitional activity is held constant over two periods (e.g. baseline vs. underfeeding or overfeeding) and verified with the use of activity monitors, the energy expended in SPA can be inferred by comparing the calculated differences between total EE and BMR plus TEF; a procedure that led Levine et al. (43) to suggest that variability in NEAT predicts susceptibility to fatness in response to overfeeding.

The application of DLW to studies of experimental underfeeding or therapeutic dieting has shown that the reduction in daily EE is importantly contributed by reductions in non-resting EE (77,78), thereby suggesting a decrease in habitual (voluntary and/or spontaneous) physical activity. By contrast, several investigations that have used the DLW method to measure total daily EE and PAL in response to overfeeding have yielded contradictory results (58,77; for review). Most report that the increases in total EE during overfeeding are small, the increases in resting EE assessed as BMR could be accounted for by increases in FFM, while the increases in TEF could be explained by the expected increase in the obligatory cost of storing the extra energy from the larger meals. Furthermore, the increase in non-resting EE referred to as an increase in NEAT by Levine et al. (43), which was indirectly calculated, was not found to be a common feature of human overfeeding studies. All other studies that utilized DLW to assess EE responses to overfeeding failed to find a significant increase in non-resting EE or PAL when NEAT was tracked objectively (58,77). Furthermore, studies conducted in respiratory chambers equipped with radar motion detectors most frequently did not find a reduction in SPA in response to caloric restriction, and the use of accelerometry has not consistently explained the reduced activity EE observed under free-living conditions in response to caloric restriction in non-obese humans participating in the CALERIE study (78). The overall conclusion is that undernutrition and caloric restriction seem to result in decreased habitual or voluntary physical activity, but an increase in energy intake does not result in a substantial increase in total EE nor in EE associated with body movements, at least in acute conditions. Such conclusions and discrepancies across studies must however be weighed against considerable limitations in the interpretation of data from DLW, and in teasing out the adaptive thermogenesis component from the non-resting EE compartments.

Technical limitations

Measuring the accuracy of a method is most important for cross-sectional studies, whereas precision is the key factor for a prospective intervention study where the net changes are of great interest, each subject being his/her own control. One technical limitation of the indirect calorimetry chamber is that its accuracy is difficult to assess properly because it relies on a system either based on combustion of a gas (ethanol, butane, etc.) using basic biochemical stoichiometry equations, or a system based on an infusion of a mixture of pure gas, one containing CO₂ and the other an inert dilution gas (typically N2), in order to produce (by gas dilution) a pseudo-O₂ consumption. In the former case, the combustion never reaches 100% so that real accuracy is difficult to track, and in the latter case, we entirely rely on the purity of certified gases (rarely a strong issue) and above all on the accuracy of the rate of gas infusion (always a strong issue). For the DLW approach, which measures CO₂ production (VCO₂) and not EE, the true accuracy is even more difficult to track. We know that total EE accuracy must be much lower than VCO2 accuracy since it depends upon an adequate value for selecting the energy equivalent of VCO2, which varies substantially and which depends upon the respiratory quotient (RQ), the latter being unknown. A proxy for approaching a reasonable RQ value is the use of the food quotient, which is calculated from the proportion of fat vs. carbohydrate vs. protein in the normal habitual diet.

Some of the discrepancies across studies may therefore be attributed to potentially large errors in assessing total EE by DLW, at least in some individuals, and also to artefacts introduced in determining the non-resting component of EE by difference, since the errors in measuring total EE, BMR or TEF are accumulated in the calculations of nonresting EE and PAL (Table 1). In a review of studies with two or more repeat DLW measurements of EE and PAL, Black and Cole (79) found that the pooled mean intraindividual coefficient of variability (CV) derived from 21 studies for EE and PAL was 12%, with wide variability both in EE (range 6.5–22.6%) and PAL (range 2.3–24.3%); these values of intra-individual variability were surprisingly found to be greater than inter-individual CV that was 11 and 7% for EE and PAL, respectively. It is true that, under field conditions, precision is lost due to errors that include those due to changes in background isotope levels, errors in dosing, or the handling and analysis of urine samples and inherent biological variation apart from that due specifically to physical activity. However, in establishing precision of the DLW technique itself by undertaking repeat measurements on six young men who were confined to a metabolic facility, fed a constant weight maintenance diet and

required to be totally sedentary (physical movement being limited to that necessary for personal care and hygiene), Goran et al. (80) found the total intra-individual variability to be 8.4%. Analytic variation was estimated from propagation of error analysis to be 6%, and thus the inherent biological variation was calculated to be 6% (square root of (8.4² -6²)). Similarly, Schoeller and Hnilicka (81) made repeat measurements on six free-living female nutritionists 6 months apart, and found intra-individual CV to be 8% on average, and inherent biological variation estimated as 6.4%; they also reviewed the within-subject variation in 15 other studies and calculated the inherent biological variation to average 8%. Although variation that included changes in weight, season and activity augmented with increased time between measurements to about 15% at a time span of 12 months, values of 9-13% have also been reported for time span as short as 3-8 weeks for both EE and PAL (79), the time necessary to wash out the stable isotopes from the body.

Finally, as an estimate of physical activity (PAL) is calculated as the total EE (measured by DLW over, say, 1 week) divided by BMR (measured over only 30 min in steady state by indirect calorimetry), one wonders whether the PAL error may primarily stem from the subject's sensitive value of BMR, in particular if it is a 'snap shot' measure on one single occasion (whose reproducibility can be poor in some subjects). Ideally, BMR should be replicated several times to bracket the DLW time window. Overall, the errors in assessing EE by DLW are large enough to cast shadows on the interpretation of DLW studies about magnitude of adaptive thermogenesis.

Biological limitations

The evaluation of what constitutes adaptive thermogenesis in the component of non-resting EE is perhaps the most challenging task. As discussed earlier, changes in the level of subconscious SPA activity (within the NEAT compartment) may be considered by some as adaptive thermogenesis and hence part of the autoregulatory adjustments in EE in response to under- or overfeeding. However, the calculated non-resting EE only provides a crude estimate of physical activity but does not identify the types of activities. Although accelerometers and activity monitors provide some qualification, the detection of SPA behaviours per se remains a daunting task (82,83), because as underscored by Garland et al. (84), voluntary exercise, SPA and sedentary behaviours do not necessarily lie along a single continuum or axis of variation. There is considerable evidence suggesting that what is often considered as 'voluntary' exercise or movement may also be under substantial biological control. The motivation for exercise can be multifactorial, exceedingly complex and related to major personality traits as it can also be rewarding (i.e. psychologically and/or physically) and even addictive (84). Furthermore, what is referred to as NEAT also poses a problem as it has been estimated in a variety of ways, corresponding to different definitions. Although initially equated with SPA by Levine et al. (43), NEAT has been subsequently extended by the same author to include most of our daily tasks such as walking, talking, reading and gardening (85). Under this sort of very broad definition, the NEAT concept can be of voluntary nature and even encompass what is commonly viewed as exercise (86). Furthermore, TEF is not considered in the NEAT concept when NEAT is calculated above the resting EE measured without an overnight fast and hence generally includes a component of TEF. The hypothesis that NEAT does not potentiate TEF, however, does not take into consideration different physical activity circumstances. Irrespective of how broadly one defines NEAT, it is clear that there is a large grey area between voluntary (or planned) exercise and SPA. To quote Garland et al. (84): 'How should play behaviour, which occurs commonly in the young, and sometimes in the adults, be classified?" Furthermore as most of these 'reliable' DLW studies to assess EE in response to overfeeding were conducted with subjects living in research institute and metabolic ward conditions where the opportunity for both voluntary and SPA is curtailed, the expression of adaptive thermogenesis in the non-resting compartment of EE is also limited by such experimental constraints.

The meaningless mean

The human population has a wide genetic variation and our ability to construct experimental groups of similar individuals is severely limited. It is possible to exclude outlying data on statistical grounds by applying the Chauvenet criterion, but philosophically and clinically we are also interested in the performance of individuals as the laws of thermodynamics must apply to them also. This brings us to the problem of human experiments: if a predisposition to obesity or leanness is genetically determined as strongly as it is in animals, but undetectable in advance, it is inevitable that the literature on adaptation to energy intake will be contradictory (87). It is an individual and not a general phenomenon. In any population sample under investigation, the existence of a high capacity for adaptive thermogenesis in some individuals may be masked by focusing only on the mean values if this population sample consists mostly of individuals showing little or no adaptive thermogenesis. This is well illustrated in the overfeeding study of Siervo et al. (75) in which total EE and body composition changes in response to a highly standardized 17-week protocol involving progressive overfeeding in a metabolic suite were assessed in six lean men using state-of-the-art measurement methods. A novel and interesting feature of this study is that it utilized a design with recurrent periods of overeating that characterize the weight history of the vast majority of overweight subjects. This design is therefore unlike previous longer-term overeating studies that have used a single (generally severe) level of overfeeding that may overwhelm the homoeostatic processes and obscure more subtle changes that may be effective in modulating energy balance during naturally occurring episodic periods of marginal excess consumption. This contention is supported by the demonstration from an 8-week sustained overfeeding study that increases in BMR during the first few weeks attenuate over subsequent weeks (25).

The study of Siervo et al. (75) started with a baseline period of 3 weeks during which the dietary intake provided was adjusted to maintain body weight. Subjects were then challenged with 3-week stepwise overfeeding phases (+20, +40 and +60% increases above the baseline energy intakes) separated by intermittent ad libitum phases. Body composition was assessed by a four-compartment model using dual-energy X-ray absorptiometry, deuterium dilution and plethysmography. Energy intake was assessed throughout, EE and substrate oxidation rates were measured repeatedly by whole body calorimetry in a respiratory chamber, and free-living EE was measured by DLW at baseline and after 60% overfeeding. At the end of 60% overfeeding, total daily EE assessed in the respirometry chamber and by DLW had increased by 11 and 16%, respectively. Body weight and body fat had increased by 6 and 3.3 kg, respectively, such that fat gain and FFM gain accounted for 55 and 45% of the weight gain, respectively. The computed energy cost of tissue accretion was found to differ from the excess ingested by only 12-13%, indicating an absence of effective dissipative mechanisms. The authors concluded that elevations in total EE provide very limited autoregulatory capacity in body weight regulation (75).

While this conclusion based on mean values seems sound, a more detailed examination of individual responses to the overfeeding challenge nonetheless reveals large interindividual differences in the measured or calculated parameters for EE and body composition, such that the five (out of six) individuals who completed the entire study can be phenotyped into those showing low or high partitioning (HP) of weight gain towards FFM and their increases in daily EE (assessed in respiratory chamber) divided into obligatory or adaptive thermogenesis (Fig. 5); the obligatory increase in 24-h EE being derived here from the regression relating respiratory chamber 24-h EE and body mass (88). The present analysis reveals that two of these five individuals (subjects #2 and #3) showed body composition with a HP in favour of FFM together with no sign or low adaptive thermogenesis. By contrast, the other individuals (in particular subjects #5 and #6) showed lower weight gain (4.5-5.5 kg vs. 7-8 kg), much less partitioning of weight gain towards FFM (low partitioning [LP]), and significant high adaptive thermogenesis which explained most of the 13-16% increase in their 24-h EE assessed in

the respiratory chamber. The last individual (subject #4) showed LP and more modest adaptive thermogenesis. Clearly, there is a large heterogeneity in the response to overfeeding both in the composition of weight gain and in the extent to which adaptive thermogenesis may be operating, such that individual variation is lost by emphasizing conclusions based only on the mean values.

Similarly, studies that apply analytical and statistical approaches that fully capture the large inter-individual variability in weight loss, the composition of weight loss and in BMR and TEF, suggest highly variable metabolic compensation, with variation in adaptive suppression of thermogenesis accounting for a substantial component of the variability in the discrepancy between predicted and actual weight loss (89,90). As mentioned earlier, a role for genes in determining the capacity for metabolic compensation in response to dieting or to overfeeding and the large inter-individual variability in weight loss or weight gain, respectively, have been demonstrated in studies on identical twins (11,12).

'Small' over time becomes important

In discussions about the relevance of adaptive thermogenesis to the aetiology of obesity, to the lower-than-predicted weight loss in response to dieting/exercise interventions, and to the inability to sustain weight loss after slimming therapy, it is argued that the changes in EE that are considered to be 'adaptive' are often no more than a few percent of daily EE and hence unimportant for weight homoeostasis. But as obesity is the result of energy intake that exceeds EE for long periods, it needs to be emphasized that even a small positive energy balance on a daily basis can lead to a significant weight gain over years. Such small positive energy imbalances of the order of 200-1,000 kJ d⁻¹ may result from the normal variation in massadjusted resting EE among individuals with an intersubject CV of 6% or less (91-93). Thus, for the same energy intake, a lower resting EE in an average adult female would result in a positive energy balance of >630 kJ d⁻¹ for about two-thirds of the population who happens to fall below the mean. It could also result from a lower TEF as has been reported in obese and post-obese states (37,38,94), which would result in a positive energy balance of the order of 100-400 kJ d⁻¹. Using previously reported data in women on body composition, resting EE and the energy cost of tissue deposition, Weinsier et al. (95) have used mathematical models to predict the theoretical effect of a persistent reduction in daily EE on long-term weight gain assuming no adaptation in energy intake, and based on reduction in daily EE in the above-mentioned range of 200-800 kJ d⁻¹, as might be expected from reported inter-individual variations in resting EE, TEF or routine physical activity. As shown in Fig. 6, a decrease in EE that results in an initial

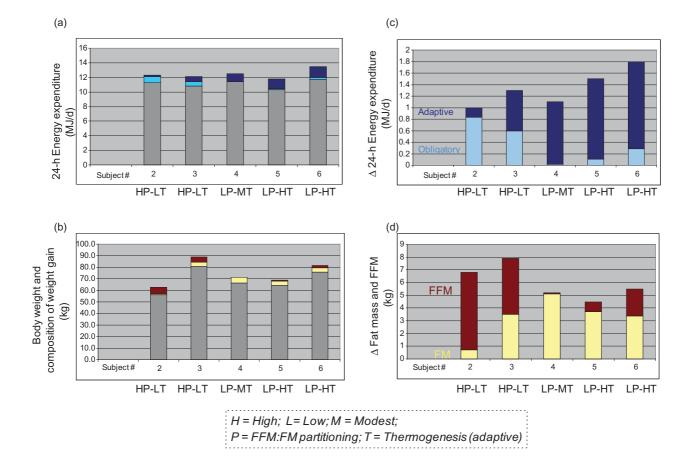


Figure 5 Analysis of individual data on changes in body composition (by four-compartment model) and 24-h energy expenditure (EE) (in respiratory chamber) in response to progressive and intermittent overfeeding, Siervo *et al.* (75); the individual data for measurements made during the baseline period and during the last week of overfeeding are obtained from Tables 2 and 3 of the above-mentioned article (75); data for subject #1 are incomplete and hence not included in the analysis here. Panel (a): Baseline 24-h EE (grey colour), over which is superimposed the increases in 24-h EE in response to overfeeding (pale blue: obligatory increase; dark blue: adaptive increase). Panel (b): Baseline body weight (grey colour) over which is superimposed the weight gained divided into fat mass (FM) (yellow) and fat-free mass (FFM) (dark brown). Panels (c) and (d) show only the changes in 24-h EE and body weight, divided into FM and FFM. The subjects are categorized here in their response to overfeeding as having low or high partitioning of weight gain to FFM (LP or HP), and as showing low, modest or high adaptive thermogenesis (LT, MT or HT). The adaptive component of the increase in 24-h EE is calculated as the difference between the total increase and that predicted by the regression equation of Klausen *et al.* (88) relating respiratory chamber 24-h EE and FFM as follows: 24-h EE (kJ d⁻¹) = 2,154 + 136*FFM.

daily positive energy balance of 419 kJ (100 kcal) in a lean individual of 50 kg and 22% fat mass with a constant PAL of 1.4 would result in a weight gain of 6.4 kg (representing 56% fat mass and 44% FFM) before energy equilibrium is re-established. In contrast, an already obese person of 100 kg and 46% fat mass would have to gain almost 50% more weight, i.e. 9.3 kg (representing 83% fat mass and 17% FFM) to offset the same positive energy balance, since the increase in weight is largely fat, which is less metabolically active than FFM. Thus, resting EE rises more slowly with increasing degrees of obesity due to a declining proportion of the more metabolically active FFM. For the same positive initial energy imbalance, a significantly greater weight gain is expected for obese than for lean women before energy equilibrium is re-established, thus tending to perpetuate obesity further. Due to the greater energy density of adipose tissue, the time course of weight gain to achieve energy balance is longer for obese subjects: in general, this is approximately 5 years for lean women and 10 years for obese women.

Based on these calculations and mathematical models, Weinsier *et al.* (95) concluded that the magnitude of weight gain of lean women in response to a small reduction in EE of 200–800 kJ d⁻¹ would be about 3–15 kg, amounts of weight gain that they consider would be insufficient to explain severe obesity. It is however emphasized here that these amounts of weight gain are sufficient to explain the transition of lean people with a HP of weight gain to FFM (i.e. the HP phenotype) to the overweight category, and those with a LP (the LP phenotype) to the obese category. Thus, the prediction of weight gain resulting from daily EE being lower than energy intake by as little as 100 kcal d⁻¹

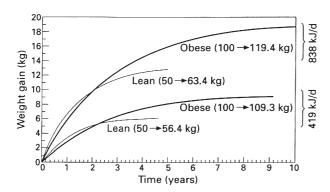


Figure 6 The predicted effects of an initial positive daily energy balance of 419 kJ (100 kcal) or 838 kJ (200 kcal) on the magnitude and time course of weight gain in a lean (50 kg) and an obese (100 kg) woman. The amount of weight gain that occurs until equilibrium is re-established is determined by both the initial increase in energy balance and the starting weight, whereas the time course is primarily a function of initial body weight and the composition of weight gain or regain. Adapted from Weinsier *et al.* (95).

leads to an amount of weight gained that is dependent on lean: fat partitioning characteristic of the individual. The amount and the length of time of the weight gain would be considerably greater for a person who is already obese as well as for a lean person (never obese or post-obese) with a LP phenotype than for a lean individual (never obese or post-obese) with a HP phenotype.

Thus, adaptive thermogenesis, even of the order of only a few percent of daily EE, can be of importance for long-term weight homoeostasis. It is relevant as much to the aetiology of obesity as to the inability to sustain weight loss after slimming therapy (96), to catch-up fat during weight regain, and to how dieting makes some fatter (97).

Conclusions

Today, the accuracy and precision with which we can measure the various compartments of EE, energy intake and body composition are still poor relative to the potential long-term impact of small changes in energy imbalance on body weight and body composition. As adaptive thermogenesis is calculated from the parameters of energy balance and body composition, with each parameter's error accumulating in this calculation, it is understandable that the quantification of adaptive thermogenesis is prone to large errors. In this context alone, it remains more of a concept than a strictly 'quantifiable' entity. Nonetheless, the concept of adaptive thermogenesis has evolved considerably over the past century from 'luxuskonsumption' to one centred on a fine-tuning system in the long-term regulation of body weight and body composition, most often operating amidst a fluctuating body weight. There is considerable inter-individual variability in the capacity for adaptive thermogenesis, and in addition, there seems to be considerable inter-individual heterogeneity concerning the compartments and sub-compartments of EE in which adaptive thermogenesis might be occurring. Hence, there may be considerable inter-individual differences in metabolic strategies to conserve energy through suppressed thermogenesis or to dissipate excess energy through DIT. Finally, over these past decades, the concept of adaptive thermogenesis in human weight regulation has been a major driving force for research that has led to major advances in our understanding of many facets of human metabolism, including the importance of genetics in human susceptibility to obesity and resistance to slimming (11,12), in establishing fundamental mechanistic links between diet, weight dynamics, and disease entities of the metabolic syndrome (98,99), and last, but not least, in opening new avenues for exciting research into pharmaceutical and nutraceutical approaches for the management of obesity and its associated chronic diseases (100-103).

Conflict of interest statement

The authors declare no conflict of interest.

Acknowledgements

This work is supported by the Swiss National Science Foundation (Grant No. 31-130481).

References

- 1. Waterlow JC. Nutritional adaptation in man: general introduction and concepts. *Am J Clin Nutr* 1990; 51: 259–263.
- 2. Garrow JS. Energy Balance and Obesity in Man. Elsevier: North Holland, Amsterdam, 1974.
- 3. Hill JO. Understanding and addressing the epidemic of obesity: an energy balance perspective. *Endocr Rev* 2006; 27: 750–761.
- 4. Garrow JS. Penalties of shifting weight. *BMJ* 1995; 311: 1653–1654.
- 5. Schutz Y, Garrow JS. Energy and substrate balance, and weight regulation. In: Garrow JS, James WPT, Ralph A (eds). *Human Nutrition and Dietetics*, 10th edn. Elsevier Churchill Livingstone: London, 2000, pp. 137–148.
- 6. Speakman JR, Levitsky DA, Allison DB *et al*. Set points, settling points and some alternative models: theoretical options to understand how genes and environments combine to regulate body adiposity. *Dis Model Mech* 2011; 4: 733–745.
- 7. Payne PR, Dugdale AE. Mechanisms for the control of body weight. *Lancet* 1977; 8011: 583–586.
- 8. Leibel RL, Rosenbaum M, Hirsch J. Changes in energy expenditure resulting from altered body weight. *N Engl J Med* 1995; 332: 621–628.
- 9. Rosenbaum M, Hirsch J, Gallagher DA, Leibel RL. Long-term persistence of adaptive thermogenesis in subjects who have maintained a reduced body weight. *Am J Clin Nutr* 2008; 88: 906–912. 10. Stock MJ. Gluttony and thermogenesis revisited. *Int J Obes* 1999; 23: 1105–1117.
- 11. Bouchard C, Tremblay A, Desprès JP *et al.* The response to long-term overfeeding in identical twins. *N Engl J Med* 1990; 322: 1477–1482.

- 12. Hainer V, Stunkard AJ, Kunesova M, Parizkova J, Stich V, Allison DB. A twin study of weight loss and metabolic efficiency. *Int J Obes* 2001; **25**: 533–537.
- 13. Keys A, Brozek J, Henschel A, Mickelson O, Taylor HL. *The Biology of Human Starvation*. University of Minnesota Press: Minneapolis, MN, 1950.
- 14. Luke A, Schoeller DA. Basal metabolic rate, fat-free mass, and body cell mass during energy restriction. *Metabolism* 1992; 41: 450-456
- 15. Dulloo AG, Jacquet J. Adaptive reduction in basal metabolic rate in response to food deprivation in humans: a role for feedback signals from fat stores. *Am J Clin Nutr* 1998; 68: 599–606.
- 16. Lazzer S, Boirie Y, Montaurier C, Vernet J, Meyer M, Vermorel M. A weight reduction program preserves fat-free mass but not metabolic rate in obese adolescents. *Obes Res* 2004; 12: 233–240.
- 17. Major GC, Doucet E, Trayhurn P, Astrup A, Tremblay A. Clinical significance of adaptive thermogenesis. *Int J Obes* 2007; 31: 204–212.
- 18. Martin CK, Heilbronn LK, de Jonge L *et al*. Effect of calorie restriction on resting metabolic rate and spontaneous physical activity. *Obesity (Silver Spring)* 2007; 15: 2964–2973.
- 19. Goldman R, Haisman M, Bynum G, Horton E, Sims E. Experimental obesity in man: metabolic rate in relation to dietary intake. In: Bray GA (ed.). *Obesity in Perspective: A Conference*. U.S. Government Printing Office: Washington, DC, 1975, pp. 165–186.
- 20. Forbes GB, Brown MR, Welle SL, Lipinski BA. Deliberate overfeeding in women and men: energy cost and composition of the weight gain. *Br J Nutr* 1986; **56**: 1–9.
- 21. Welle S, Campbell RG. Stimulation of thermogenesis by carbohydrate overfeeding. Evidence against sympathetic nervous system mediation. *J Clin Invest* 1983; 71: 916–925.
- 22. Ravussin E, Schutz Y, Acheson KJ, Dusmet M, Bourquin L, Jequier E. Short-term, mixed-diet overfeeding in man: no evidence for 'luxuskonsumption'. *Am J Physiol* 1985; 249: E470–E477.
- 23. Katzeff HL, O'Connell M, Horton ES, Danforth E Jr, Young JB, Landsberg L. Metabolic studies in human obesity during overnutrition and undernutrition: thermogenic and hormonal responses to norepinephrine. *Metabolism* 1986; 35: 166–175.
- 24. Roberts SB, Young VR, Fuss P *et al*. Energy expenditure and subsequent nutrient intakes in overfed young men. *Am J Physiol* 1990; 259: R461–R469.
- 25. Harris AM, Jensen MD, Levine JA. Weekly changes in basal metabolic rate with eight weeks of overfeeding. *Obesity (Silver Spring)* 2006: 14: 690–695.
- 26. Dulloo AG, Jacquet J, Girardier L. Autoregulation of body composition during weight recovery in humans: the Minnesota Experiment revisited. *Int J Obes* 1996; **20**: 393–405.
- 27. Dulloo AG, Seydoux J, Jacquet J. Adaptive thermogenesis and uncoupling proteins: a reappraisal of their roles in fat metabolism and energy balance. *Physiol Behav* 2004; 83: 587–602.
- 28. Cooling J, Blundell J. Differences in energy expenditure and substrate oxidation between habitual high fat and low fat consumers (phenotypes). *Int J Obes* 1998; 22: 612–618.
- 29. Astrup A, Gøtzsche PC, van de Werken K et al. Meta-analysis of resting metabolic rate in formerly obese subjects. Am J Clin Nutr 1999; 69: 1117–1122.
- 30. Jéquier E, Schutz Y. Resting energy expenditure, thermic effect of food, and total energy expenditure. In: Bray GA, Bouchard C (eds). *Handbook of Obesity, Etiology and Pathophysiology*, 2nd edn. Marcel Dekker: New York, 2004, pp. 615–629.
- 31. Joosen AM, Westerterp KR. Energy expenditure during over-feeding. *Nutr Metab (Lond)* 2006; 3: 1–7.

- 32. Kurpad AV, Muthayya S, Vaz M. Consequences of inadequate food energy and negative energy balance in humans. *Public Health Nutr* 2005; 8: 1053–1076.
- 33. de Jonge L, Bray GA. The thermic effect of food and obesity: a critical review. *Obes Res* 1997; 5: 622-631.
- 34. Granata GP, Brandon LJ. The thermic effect of food and obesity: discrepant results and methodological variations. *Nutr Rev* 2002; 60: 223–233.
- 35. Dulloo AG, Miller DS. The thermogenic properties of ephedrine/methylxanthine mixtures: human studies. *Int J Obes* 1986; **10**: 467–481.
- 36. Dulloo AG, Geissler CA, Horton T, Collins A, Miller DS. Normal caffeine consumption: influence on thermogenesis and daily energy expenditure in lean and postobese human volunteers. *Am J Clin Nutr* 1989; 49: 44–50.
- 37. Astrup A, Andersen T, Christensen NJ *et al*. Impaired glucose-induced thermogenesis and arterial norepinephrine response persist after weight reduction in obese humans. *Am J Clin Nutr* 1990; 51: 331–337.
- 38. Nelson KM, Weinsier RL, James LD, Darnell B, Hunter G, Long CL. Effect of weight reduction on resting energy expenditure, substrate utilization, and the thermic effect of food in moderately obese women. *Am J Clin Nutr* 1992; 55: 924–933.
- 39. Weyer C, Walford RL, Harper IT *et al.* Energy metabolism after 2 y of energy restriction: the Biosphere 2 experiment. *Am J Clin Nutr* 2000; 72: 946–953.
- 40. Ravussin E, Lillioja S, Anderson TE, Christin L, Bogardus C. Determinants of 24-hour energy expenditure in man: methods and results using a respiratory chamber. *J Clin Invest* 1986; 78: 1568–1578.
- 41. Zurlo F, Ferraro RT, Fontvielle AM, Rising R, Bogardus C, Ravussin E. Spontaneous physical activity and obesity: cross-sectional and longitudinal studies in Pima Indians. *Am J Physiol* 1992; 263(2 Pt 1): E296–E300.
- 42. Miller DS, Mumford P, Stock MJ. Gluttony 2. Thermogenesis in overeating man. *Am J Clin Nutr* 1967; **20**: 1223–1229.
- 43. Levine JA, Eberhardt NL, Jensen MD. Role of nonexercise activity thermogenesis in resistance to fat gain in humans. *Science* 1999; **283**: 212–214.
- 44. Rosenbaum M, Vandenborne K, Goldsmith R *et al.* Effects of experimental weight perturbation on skeletal muscle work efficiency in human subjects. *Am J Physiol* 2003; 285: R183–R192.
- 45. Weigle BS, Brunzell J. Assessment of energy expenditure in ambulatory reduced-obese subjects by techniques of weight stabilization and exogenous weight replacement. *Int J Obes* 1990; 14: 69–77.
- 46. Doucet E, Imbeault P, St-Pierre S *et al.* Greater than predicted decrease in energy expenditure during exercise after body weight loss in obese men. *Clin Sci (Lond)* 2003; **105**: 89–95.
- 47. Manore MM, Berry TE, Skinner JS, Carroll SS. Energy expenditure at rest and during exercise in nonobese female cyclical dieters and in nondieting control subjects. *Am J Clin Nutr* 1991; 54: 41–46.
- 48. Kulkarni R, Shetty P. Net mechanical efficiency during stepping in chronically energy deficient human subjects. *Ann Hum Biol* 1992; 19: 421–425.
- 49. Schoffelen PF, Westerterp KR. Intra-individual variability and adaptation of overnight- and sleeping metabolic rate. *Physiol Behav* 2008; 94: 158–163.
- 50. Segal KR, Gutin B, Albu J, Pi-Sunyer FX. Thermic effects of food and exercise in lean and obese men of similar lean body mass. *Am J Physiol* 1987; 252(1 Pt 1): E110–E117.

- 51. Segal KR, Chun A, Coronel P, Valdez V. Effects of exercise mode and intensity on postprandial thermogenesis in lean and obese men. *J Appl Physiol* 1992; 72: 1754–1763.
- 52. Tremblay A, Nadeau A, Fournier G, Bouchard C. Effect of a three-day interruption of exercise-training on resting metabolic rate and glucose-induced thermogenesis in training individuals. *Int J Obes* 1988; 12: 163–168.
- 53. Tataranni PA, Larson DE, Snitker S, Ravussin E. Thermic effect of food in humans: methods and results from use of a respiratory chamber. *Am J Clin Nutr* 1995; 61: 1013–1019.
- 54. Schutz Y. Physical activity and the thermic effect of food. In: Bouchard C, Katzmarzyk PT (eds). *Advances in Physical Activity and Obesity*. Human Kinetics: Champaign, IL, 2008, pp. 132–136, chapter 33.
- 55. Richard D, Rivest S. The role of exercise in thermogenesis and energy balance. Can J Physiol Pharmacol 1989; 67: 402–409.
- 56. Broeder CE, Brenner M, Hofman Z, Paijmans IJ, Thomas EL, Wilmore JH. The metabolic consequences of low and moderate intensity exercise with or without feeding in lean and borderline obese males. *Int J Obes* 1991; 15: 95–104.
- 57. Knab AM, Shanely RA, Corbin KD, Jin F, Sha W, Nieman DC. A 45-minute vigorous exercise bout increases metabolic rate for 14 hours. *Med Sci Sports Exerc* 2011; 43: 1643–1648.
- 58. Schoeller DA. The importance of clinical research: the role of thermogenesis in human obesity. *Am J Clin Nutr* 2001; 73: 511–516
- 59. Prentice A. Are defects in energy expenditure involved in the causation of obesity? *Obes Rev* 2007; 8(Suppl. 1): 89–91.
- 60. Schutz Y. Human overfeeding experiments: potentials and limitations in obesity research. *Br J Nutr* 2000; 84: 135–137.
- 61. Stubbs RJ, Tolkamp BJ. Control of energy balance in relation to energy intake and energy expenditure in animals and man: an ecological perspective. *Br J Nutr* 2006; 95: 657–676.
- 62. Westerterp KR. Dietary protein and weight gain. *JAMA* 2012; 307: 1691–1692.
- 63. Bray GA, Smith SR, de Jonge L *et al*. Effect of dietary protein content on weight gain, energy expenditure, and body composition during overeating: a randomized controlled trial. *JAMA* 2012; 307: 47–55.
- 64. Heymsfield SB, Harp JB, Reitman ML *et al*. Why do obese patients not lose more weight when treated with low-calorie diets? A mechanistic perspective. *Am J Clin Nutr* 2007; 85: 346–354.
- 65. Elia M. Organ and tissue contribution to metabolic rate. In: Kinney JM, Tucker HN (eds). *Energy Metabolism: Tissue Determinants and Cellular Corollaries*. Raven Press: New York, 1992, pp. 61–77.
- 66. Müller MJ, Bosy-Westphal A, Kutzner D, Heller M. Metabolically active components of fat-free mass and resting energy expenditure in humans: recent lessons from imaging technologies. *Obes Rev* 2002; 3: 113–122.
- 67. Heymsfield S, Thomas D, Bosy-Westphal A, Shen W, Peterson C, Müller MJ. Evolving concepts on adjusting human resting energy expenditure measurements for body size. *Obes Rev* 2012; 13: 1001–1014.
- 68. Dulloo AG, Jacquet J, Solinas G, Montani JP, Schutz Y. Body composition phenotypes in pathways to obesity and the metabolic syndrome. *Int J Obes (Lond)* 2010; 34(Suppl. 2): S4–S17.
- 69. Naeye RL, Roode P. The sizes and numbers of cells in visceral organs in human obesity. *Am J Clin Pathol* 1970; 54: 251–253.
- 70. Dériaz O, Fournier G, Tremblay A, Després JP, Bouchard C. Lean-body-mass composition and resting energy expenditure before and after long-term overfeeding. *Am J Clin Nutr* 1992; 56: 840–847.

- 71. Bosy-Westphal A, Kossel E, Goele K et al. Contribution of individual organ mass loss to weight loss-associated decline in resting energy expenditure. Am J Clin Nutr 2009; 90: 993–1001. 72. Bosy-Westphal A, Later W, Schautz B, Heller M, Müller MJ. Effect of weight loss and regain on adipose tissue distribution, detailed composition of lean mass, and resting energy expenditure in overweight subjects. Obes Facts 2012; 5: 145.
- 73. Siervo M, Jebb SA. Body composition assessment: theory into practice: introduction of multicompartment models. *IEEE Eng Med Biol Mag* 2010; **29**: 48–59.
- 74. Müller MJ, Bosy-Westphal A, Lagerpusch M, Heymsfield SB. Use of balance methods for assessment of short-term changes in body composition. *Obesity (Silver Spring)* 2012; 20: 701–707.
- 75. Siervo M, Frühbeck G, Dixon A *et al*. Efficiency of autoregulatory homeostatic responses to imposed caloric excess in lean men. *Am J Physiol Endocrinol Metab* 2008; **294**: E416–E424.
- 76. Leone PA, Gallagher D, Wang J, Heymsfield SB. Relative overhydration of fat-free mass in postobese versus never-obese subjects. *Ann N Y Acad Sci* 2000; 904: 514–519.
- 77. Westerterp KR. Physical activity, food intake, and body weight regulation: insights from doubly labeled water studies. *Nutr Rev* 2010; 68: 148–154.
- 78. Martin CK, Das SK, Lindblad L *et al.*; CALERIE Study Team. Effect of calorie restriction on the free-living physical activity levels of nonobese humans: results of three randomized trials. *J Appl Physiol* 2011; 110: 956–963.
- 79. Black AE, Cole TJ. Within- and between-subject variation in energy expenditure measured by the doubly-labelled water technique: implications for validating reported dietary energy intake. *Eur J Clin Nutr* 2000; 54: 386–394.
- 80. Goran MI, Poehlman ET, Danforth E Jr. Experimental reliability of the doubly labeled water technique. *Am J Physiol* 1994; **266**(3 Pt 1): E510–E515.
- 81. Schoeller DA, Hnilicka JM. Reliability of the doubly labeled water method for the measurement of total daily energy expenditure in free-living subjects. *J Nutr* 1996; 126: 348S–354S.
- 82. Johannsen DL, Ravussin E. Spontaneous physical activity: relationship between fidgeting and body weight control. *Curr Opin Endocrinol Diabetes Obes* 2008; **15**: 409–415.
- 83. Donahoo WT, Levine JA, Melanson EL. Variability in energy expenditure and its components. *Curr Opin Clin Nutr Metab Care* 2004: 7: 599–605.
- 84. Garland T Jr, Schutz H, Chappell MA *et al.* The biological control of voluntary exercise, spontaneous physical activity and daily energy expenditure in relation to obesity: human and rodent perspectives. *J Exp Biol* 2011; 214(Pt 2): 206–229.
- 85. Levine JA. Non-exercise activity thermogenesis. *Proc Nutr Soc* 2003; **62**: 667–679.
- 86. Kotz CM, Teske JA, Billington CJ. Neuroregulation of non-exercise activity thermogenesis and obesity resistance. *Am J Physiol Regul Integr Comp Physiol* 2008; **294**: R699–R710.
- 87. Miller DS. Factors affecting energy expenditure. *Proc Nutr Soc* 1982; 41: 193–202.
- 88. Klausen B, Toubro S, Astrup A. Age and sex effects on energy expenditure. *Am J Clin Nutr* 1997; **65**: 895–907.
- 89. Goele K, Bosy-Westphal A, Rumcker B, Lagerpusch M, Muller MJ. Influence of changes in body composition and adaptive thermogenesis on the difference between measured and predicted weight loss in obese women. *Obes Facts* 2009; 2: 105–109.
- 90. Byrne NM, Wood RE, Schutz Y, Hills AP. Does metabolic compensation explain the majority of less-than-expected weight loss in obese adults during a short-term severe diet and exercise intervention? *Int J Obes* 2012; Epub ahead of print; doi: 10.1038/ijo.2012.109.

- 91. Ravussin E, Burnand B, Schutz Y, Jéquier E. Twenty-four-hour energy expenditure and resting metabolic rate in obese, moderately obese, and control subjects. *Am J Clin Nutr* 1982; 35: 566–573.
- 92. Ravussin E, Lillioja S, Knowler WC *et al.* Reduced rate of energy expenditure as a risk factor for body-weight gain. *N Engl J Med* 1988; **318**: 467–472.
- 93. Johnstone AM, Murison SD, Duncan JS, Rance KA, Speakman JR. Factors influencing variation in basal metabolic rate include fat-free mass, fat mass, age, and circulating thyroxine but not sex, circulating leptin, or triiodothyronine. *Am J Clin Nutr* 2005; 82: 941–948.
- 94. Jéquier E, Schutz Y. Long-term measurements of energy expenditure in humans using a respiration chamber. *Am J Clin Nutr* 1983; 38: 989–998.
- 95. Weinsier RL, Bracco D, Schutz Y. Predicted effects of small decreases in energy expenditure on weight gain in adult women. *Int J Obes* 1993; 17: 693–700.
- 96. Dulloo AG. Explaining the failures of obesity therapy: will-power attenuation, target miscalculation or metabolic compensation? *Int J Obes* 2012, in press.

- 97. Dulloo AG, Jacquet J, Montani JP. How dieting makes some fatter: from a perspective of human body composition autoregulation. *Proc Nutr Soc* 2012; 71: 379–389.
- 98. Landsberg L. A teleological view of obesity, diabetes and hypertension. Clin Exp Pharmacol Physiol 2006; 33: 863–867.
- 99. Dulloo AG, Jacquet J, Seydoux J, Montani JP. The thrifty 'catch-up fat' phenotype: its impact on insulin sensitivity during growth trajectories to obesity and metabolic syndrome. *Int J Obes (Lond)* 2006; 30(Suppl. 4): S23–S35.
- 100. Clapham JC, Arch JR. Targeting thermogenesis and related pathways in anti-obesity drug discovery. *Pharmacol Ther* 2011; 131: 295–308.
- 101. Arch JR. Thermogenesis and related metabolic targets in anti-diabetic therapy. *Handb Exp Pharmacol* 2011; 203: 201–255. 102. Boss O, Farmer SR. Recruitment of brown adipose tissue as a therapy for obesity-associated diseases. *Front Endocrinol (Lausanne)* 2012; 3: 1–6.
- 103. Dulloo AG. The search for compounds that stimulate thermogenesis in obesity management: from pharmaceuticals to functional food ingredients. *Obes Rev* 2011; 12: 866–883.